

General Assembly

Bill No. 44

February Session, 2006	006 LCO No. 7 0			
	*	SB00044APP	041806	*

Referred to Committee on Select Committee on Aging

Introduced by:

SEN. DELUCA, 32nd Dist. REP. WARD, 86th Dist.

AN ACT CONCERNING REGISTRATION OF HOMEMAKER-COMPANION AGENCIES WITH THE DEPARTMENT OF CONSUMER PROTECTION.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- 1 Section 1. (NEW) (Effective July 1, 2006) As used in sections 1 to 11,
- 2 inclusive, of this act:
- 3 (1) "Certificate" means a certificate of registration issued under
- 4 section 3 of this act.
- 5 (2) "Commissioner" means the Commissioner of Consumer
- 6 Protection or any person designated by the commissioner to
- 7 administer and enforce the provisions of sections 1 to 11, inclusive, of
- 8 this act.
- 9 (3) "Companion services" means nonmedical, basic supervision
- 10 services to ensure the well-being and safety of a person in such
- 11 person's home.
- 12 (4) "Employee" means any person employed by, or who enters into a

- 13 contract to perform services for, a homemaker-companion agency, 14 including, but not limited to, temporary employees, pool employees 15 and independent contractors.
 - (5) "Homemaker services" means nonmedical, supportive services that ensure a safe and healthy environment for a person in such person's home, such services to include assistance with personal hygiene, cooking, household cleaning, laundry and other household chores.
 - (6) "Homemaker-companion agency" means any public or private organization, employing one or more persons that is engaged in the business of providing companion services or homemaker services. Homemaker-companion agency shall not include a home health care agency, as defined in subsection (d) of section 19a-490 of the general statutes, or a homemaker-home health aide agency, as defined in subsection (e) of section 19a-490 of the general statutes.
- 28 (7) "Service plan" means a written document provided by a 29 homemaker-companion agency to a person utilizing services provided 30 by such agency, that specifies the scope, type, frequency and duration 31 of homemaker or companion services that are to be provided by such 32 agency for the benefit of the person.
 - Sec. 2. (NEW) (*Effective July 1, 2006*) No person acting individually or jointly with any other person shall establish, conduct, operate or maintain a homemaker-companion agency in this state without first obtaining a certificate of registration from the Commissioner of Consumer Protection pursuant to section 3 of this act.
 - Sec. 3. (NEW) (*Effective July 1, 2006*) (a) Any person seeking a certificate of registration as a homemaker-companion agency shall apply to the Commissioner of Consumer Protection, in writing, on a form provided by the commissioner. The application shall include the applicant's name, residence address, business address, business telephone number and such other information as the commissioner may require. An applicant shall also be required to certify under oath

17

18

19

20

21

22

23

24

25

26

27

33

34

35

36

37

38

39

40

41

42

43

- 45 to the commissioner that: (1) Such agency complies with the 46 requirements of section 9 of this act concerning employee state 47 criminal history records checks, (2) such agency provides all persons 48 receiving homemaker or companion services with a written 49 individualized contract or service plan that specifically identifies the 50 scope, type, frequency and duration of homemaker or companion 51 services provided by the agency to the person, and (3) that all records 52 maintained by such agency shall be open, at all reasonable hours, for 53 inspection, copying or audit by the commissioner.
 - (b) Each application for a certificate of registration as a homemakercompanion agency shall be accompanied by a fee of three hundred dollars.
- (c) Upon the failure by a homemaker-companion agency to comply with the registration provisions of this section, the Attorney General, at the request of the Commissioner of Consumer Protection, is authorized to apply in the name of the state of Connecticut to the Superior Court for an order temporarily or permanently restraining and enjoining a homemaker-companion agency from continuing to do business in the state.
- 64 Sec. 4. (NEW) (Effective July 1, 2006) Upon receipt of a completed 65 application and fee, the Commissioner of Consumer Protection shall 66 issue and deliver to the applicant a certificate to engage in the business 67 for which the application was made; or refuse to issue the certificate. 68 The commissioner may suspend, revoke or refuse to issue or renew 69 any certificate issued under sections 1 to 11, inclusive, of this act or 70 may place a registrant on probation or issue a letter of reprimand. No 71 application for the reinstatement of a certificate which has been 72 revoked shall be accepted by the commissioner within one year after 73 the date of such revocation.
 - Sec. 5. (NEW) (*Effective July 1, 2006*) (a) Upon refusal to issue or renew a certificate, the Commissioner of Consumer Protection shall notify the applicant of the denial and of the applicant's right to request

75

76

54

55

- a hearing not later than ten days after the date of receipt of the notice of denial.
 - (b) If the applicant requests a hearing within such ten days, the commissioner shall give notice of the grounds for the commissioner's refusal and shall conduct a hearing concerning such refusal in accordance with the provisions of chapter 54 of the general statutes concerning contested cases.
- (c) If the commissioner's denial of a certificate is sustained after such hearing, an applicant may make new application not less than one year after the date on which such denial was sustained.
- 87 Sec. 6. (NEW) (Effective July 1, 2006) (a) The Commissioner of 88 Consumer Protection, at all reasonable hours, may inspect, copy or 89 audit all records maintained by such agency. The commissioner may 90 conduct investigations and hold hearings on any matter under the 91 provisions of sections 1 to 11, inclusive, of this act. The commissioner 92 may issue subpoenas, administer oaths, compel testimony and order 93 the production of books, records and documents. If any person refuses 94 to appear, to testify or to produce any book, record, paper or document 95 when so ordered, upon application of the commissioner, a judge of the 96 Superior Court may make such order as may be appropriate to aid in 97 the enforcement of this section.
 - (b) The Attorney General, at the request of the commissioner, is authorized to apply in the name of the state of Connecticut to the Superior Court for an order temporarily or permanently restraining and enjoining any person from violating any provision of sections 1 to 11, inclusive, of this act.
- Sec. 7. (NEW) (Effective July 1, 2006) (a) The Commissioner of Consumer Protection may revoke, suspend or refuse to issue or renew any certificate of registration as a homemaker-companion agency or place an agency on probation or issue a letter of reprimand for: (1) Conduct by the agency, or by an employee of the agency while in the course of employment, of a character likely to mislead, deceive or

80

81

82

83

98

99

100

101

- defraud the public or the commissioner; or (2) engaging in any untruthful or misleading advertising.
- 111 (b) The commissioner shall not revoke or suspend any certificate of 112 registration except upon notice and hearing in accordance with chapter 113 54 of the general statutes.
- Sec. 8. (NEW) (*Effective July 1, 2006*) (a) Each person obtaining a homemaker-companion agency certificate of registration shall: (1) Exhibit the agency's certificate of registration upon request by any interested party, (2) state in any advertisement the fact that the agency is registered, and (3) include the agency's registration number in any advertisement.
- 120 (b) No person shall: (1) Present or attempt to present, as such 121 person's own, the certificate of another, (2) knowingly give false 122 evidence of a material nature to the Commissioner of Consumer 123 Protection for the purpose of procuring a certificate, (3) represent 124 himself or herself falsely as, or impersonate, a registered homemaker-125 companion agency, (4) use or attempt to use a certificate which has 126 expired or which has been suspended or revoked, (5) offer to provide 127 homemaker or companion services without having a current certificate 128 of registration under the provisions of sections 1 to 11, inclusive, of this 129 act, or (6) represent in any manner that such person's registration 130 constitutes an endorsement by the commissioner of the quality of 131 services provided by such person.
- 132 (c) In addition to any other remedy provided for in sections 3 to 7, 133 inclusive, of this act, any person who violates any provision of 134 subsection (b) of this section, shall be fined not more than one 135 thousand dollars or imprisoned not more than six months, or both.
- (d) Certificates issued to a homemaker-companion agency shall notbe transferable or assignable.
- (e) All certificates issued under the provisions of sections 1 to 11, inclusive, of this act shall expire annually. The fee for renewal of a

- certificate shall be the same as the fee charged for an original application pursuant to section 3 of this act. Fees collected pursuant to the issuance of a certificate or renewal of a certificate shall be deposited in the General Fund.
 - (f) Failure to receive a notice of expiration of registration or a renewal application shall not exempt a homemaker-companion agency from the obligation to renew.
 - Sec. 9. (NEW) (*Effective July 1, 2006*) (a) Any homemaker-companion agency shall require that any current or prospective employee of such agency submit to a state criminal history records check. The criminal history records check required by this section shall be conducted in accordance with section 29-17a of the 2006 supplement to the general statutes and the costs incurred for such check shall be paid by the homemaker-companion agency.
 - (b) If a homemaker-companion agency receives notice of a state criminal history records check concerning a current or prospective employee, the agency shall make its determination concerning the employment status of such person based on: (1) The level and seriousness of the crime; (2) when the crime was committed; (3) the age of the current or prospective employee at the time the crime was committed; (4) the circumstances surrounding the crime; (5) the relationship between the crime and the current or prospective employee's duties as a direct care provider; and (6) the current or prospective employee's criminal and corrections record since the crime.
 - Sec. 10. (NEW) (Effective July 1, 2006) A homemaker-companion agency shall not provide homemaker services or companion services to any person, unless prior to providing any such services, the agency provided the person who is to receive the services with a written contract or service plan that prescribed the scope, type, frequency, duration and cost of the services to be provided by the agency, and such contract or service plan was accepted and signed by the person

who is to receive the services. In addition, any contract or service plan provided by a homemaker-companion agency to a person receiving services shall also provide notice (1) of the person's right to request changes to, or review of the contract or service plan, (2) that all the employees of such agency have submitted to a state criminal history records check, and (3) that such agency's records are available for inspection or audit by the Department of Consumer Protection.

Sec. 11. (NEW) (*Effective July 1, 2006*) The Commissioner of Consumer Protection shall adopt regulations, in accordance with chapter 54 of the general statutes, for purposes of sections 1 to 11, inclusive, of this act.

This act shall take effect as follows and shall amend the following				
sections:				
Section 1	July 1, 2006	New section		
Sec. 2	July 1, 2006	New section		
Sec. 3	July 1, 2006	New section		
Sec. 4	July 1, 2006	New section		
Sec. 5	July 1, 2006	New section		
Sec. 6	July 1, 2006	New section		
Sec. 7	July 1, 2006	New section		
Sec. 8	July 1, 2006	New section		
Sec. 9	July 1, 2006	New section		
Sec. 10	July 1, 2006	New section		
Sec. 11	July 1, 2006	New section		

AGE Joint Favorable C/R GL
GL Joint Favorable
PS Joint Favorable
FIN Joint Favorable

APP Joint Favorable